



CORPORATE CLIENT APPLICATION FORM

Before completing this application form, please ensure that you have obtained and read the information regarding the products and services provided by Saxo Capital Markets UK Ltd ("SCML") and all relevant terms and policies made available, and updated from time to time on our website (www.saxomarkets.co.uk). Once completed, this form and any supporting documents should be sent to Saxo Capital Markets UK Ltd at the business address below or alternatively please email a scanned copy to privatesalesuk@saxomarkets.com or fax the completed application to +44 (0) 2071512001

PLEASE COMPLETE THE FORM IN BLOCK LETTERS AND IN BLACK OR BLUE INK. ALL ITEMS MARKED WITH * MUST BE PROVIDED.

Initial list of documents to be provided with this application form:

- Memorandum & Article of Association;
- Certificate of Incorporation;
- Latest Financial Statements;
- Group Structure diagram (for entity within a complex group structure only)

It may be necessary for us to request further documentation and information in order to complete the due diligence process. The form must be signed by at least two directors unless the company has a sole director.

ALL ITEMS WITH * MUST BE PROVIDED.

Part 1 | Corporate Details

*Full Corporate Name:

* Registered Office Address:

*Postcode:

*Country

Business Address (*if different from registered address)

Postcode:

Country:

*Corporate registration No.:

*Country of incorporation:

*Nature of business:

Website address:

*Contact E-mail address:

*Contact telephone No.:

*Is the company listed on a regulated stock exchange?

No Yes

Name of the exchange:

*If the company is not quoted on any regulated stock exchange, list all beneficial owners or shareholders with 25% or more equity / voting rights

Title	Full Name	Address	Date of Birth	Holdings %

*Please list all directors of the company (if necessary, provide in an additional sheet)

Title	Full Name	Address	Date of Birth

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Part 1 | Corporate Details (continued)

***Is your company regulated by a financial services regulator, such as the Financial Services Authority or equivalent?**

- No
 Yes

Name of the regulator: _____

Please tick all criteria that apply to your company?

- Balance sheet total of at least EUR 20,000,000 or equivalent
 Net turnover of at least EUR 40,000,000 or equivalent
 Own funds of at least EUR 2,000,000 or equivalent
 The size of the financial instrument portfolio (cash deposits and financial instruments) held by the company exceeds EUR 500,000 or equivalent

***Please indicate how many trades the company has undertaken in each of the following products on an execution-only basis during the last 12 months?**

PRODUCTS	NO. OF TRADES
FX Rolling Spot:	_____
Options & Futures:	_____
CFDs:	_____
Financial Spread Bets:	_____
Shares:	_____
ETFs & ETCs:	_____
Gilts & Bonds:	_____

***Please indicate all sources of funds for the account:**

- Share capital
 Trading income
 Investment proceeds & income

Others, please detail: _____

Please provide the most recent (preferably audited) Financial Statements of the company.

- Tick to indicate a copy is attached.

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Part 2 | Details of Person(s) Authorised to Operate the Account

PERSON NO.1	*Title: <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr	*Date of Birth: DD / MM / YYYY
	Other (Please specify):	*Nationality
	*Full Name: Forename Other Name(s)	*Contact Tel. No.:
	Surname	*E-mail Address:
	*Occupation:	*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS
	*Current residential address:	*Previous residential address:
	*Postcode:	*Postcode:
	*Country:	*Country:

PERSON NO.2	*Title: <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr	*Date of Birth: DD / MM / YYYY
	Other (Please specify):	*Nationality
	*Full Name: Forename Other Name(s)	*Contact Tel. No.:
	Surname	*E-mail Address:
	*Occupation:	*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS
	*Current residential address:	*Previous residential address:
	*Postcode:	*Postcode:
	*Country:	*Country:

PERSON NO.3	*Title: <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr	*Date of Birth: DD / MM / YYYY
	Other (Please specify):	*Nationality
	*Full Name: Forename Other Name(s)	*Contact Tel. No.:
	Surname	*E-mail Address:
	*Occupation:	*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS
	*Current residential address:	*Previous residential address:
	*Postcode:	*Postcode:
	*Country:	*Country:

Part 3 | Trading Knowledge & Experience of the Main Person Operating the Account

Name : _____

(Note: Must be a person named in Part 2)

How long has the person continuously traded financial instruments on an execution only basis whether for the company or otherwise?

- Less than 1 year
 1 to 5 years
 More than 5 years

Does the person have any industry recognised qualifications for the type of trading that your entity intends to carry out with us?

- Yes
 No

Does the person work, or has the person worked in the financial sector for at least one year in a professional position which requires knowledge of the nature and risk involved in the type of trading that your entity intends to carry out with us?

- Yes
 No

Part 4 | Currency of the Main Account

*Please state the currency of the main account: _____

(The default currency will be GBP if this is left blank)

Part 5 | Data Protection

To comply with The Money Laundering Regulations, SCML is required to collect information and to undertake checks on the identity and residential address of the directors, shareholders and other authorised persons, including accessing and using information held in database or other electronic format through other agencies.

In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. SCML may also request further supporting documentation to verify these details. The information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. SCML will add data of the individuals (including email details) to the database of Saxo Bank Group which includes entities that are outside the EEA. A list of entities within the Group can be found on www.saxobank.com. SCML may exchange or share information with the firm or person who introduced your company to us for proper performance of the services. SCML may occasionally contact the directors and other authorised persons of the company by email, telephone or post to give information about products and services offered that are similar or related to the products and services provided or previously provided to the company.

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Part 6 | Board Resolution

We certify that at a meeting of the directors of _____ COMPANY NAME
 whose registered office is at _____ REGISTERED OFFICE ADDRESS
 held on DD / MM / YYYY, the following resolutions were passed:

1. That Saxo Capital Markets UK Ltd ("SCML") are hereby requested and authorised to open for the company such account(s) as may now or from time to time be considered appropriate for purposes of transacting and subscribing to the services and products of SCML according to the relevant terms and conditions;
2. That the director (s) that sign the application form are hereby authorised to do so for and on behalf of the company, do all acts, execute all documents and perform and enter into all agreements necessary or convenient for the purposes of opening and/or operating the account; and
3. That the person(s) on Part 2 of this application form and any additional person(s) so indicated are hereby authorised to give instruction in relation to the account(s).

Part 7 | Declaration

I/We, jointly and severally, declare that:

- I/We hereby request and authorise you to open an account for the company;
- I/We have read and understood the nature and the risk of the product(s) that the company intends to trade in this account;
- I/We have obtained from the website (www.saxomarkets.co.uk), read and understood the following:
 - a) The General Business Terms (including the product risks disclosure detailed in Schedule 1 to these General Business Terms,
 - b) Order Execution Policy,
 - c) Conflict of Interest Policy,
 - d) Commission, Charges & Margin Schedule
- I/We warrant that we have full power and authority to open and operate the account in accordance with the above resolutions, the company's articles & memorandum of association and any other constitutional documents and without breach of any law, restriction or obligation binding on the company;
- I/We have provided true, accurate and complete information and authorise you to make any enquiries which you may consider necessary for confirmation of such information and undertake to update SCML of any changes to the information provided without delay;
- I/We consent to the Order Execution Policy and for any orders to be executed outside a regulated market or a multilateral trading facility;
- I/We consent for any of unexecuted limit orders not to be made public;
- I/We accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these be provided to me/us by way of posting on the website indicated above; and
- Each director, shareholder and other authorised person of the company consent to the use of their personal information as described on Part 5 of this form.

*Date:

Full Name: _____	Signature: _____	Director
Full Name: _____	Signature: _____	Director
Full Name: _____	Signature: _____	Director
Full Name: _____	Signature: _____	Director